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| **Statement of Compliance – Chapter 12: Aeroplane Continuing Airworthiness** |
| The line items referenced in this compliance matrix have been derived from CAAT as the minimum maintenance compliance requirements for an application for  the Initial application, renewal and variation existing of an AOC.  A completed statement of compliance must be submitted by the applicant for Initial application, renewal and variation existing. Additionally, the certificate holder should maintain an up-to-date compliance matrix to assist with on-going compliance and to support certificate amendment requests.  The purpose of the statement of compliance is to speed up the certification process, ensure every applicable requirement has been addressed in the exposition and reduce the cost of certification by the quick location of required policies or procedures in the applicant’s exposition manual suite.  **All requirements have to be complied with**, but not every requirement has to be addressed in the exposition. At least the following Requirements must be included unless they are not applicable to the operation, in which case they should be annotated N/A. The intention of this statement of compliance is to assist rather than instruct the applicant in an Initial application, renewal and variation existing. If for your operation, compliance is required with a Regulation not listed in the statement of compliance, please add it to the list and identify the exposition reference.  This statement of compliance needs to be completed by every applicant for an AOC and show the exposition pages and paragraph numbers that satisfy CAATRequirements in the ***Manual References / Applicant’s Comments*** column. Where the applicant does not meet the CAAT Requirement or deems it not applicable, an explanation should be given in this column. **Please note that ticks ( √ ) are not acceptable.**  The completed statement of compliance should accompany the exposition documents and preferably be included as a component of the exposition. The applicant may submit a completed statement of compliance in a different format as long as it includes all the Requirements references identified below; however, there may be additional processing time required by the CAAT in cross-referencing requirements.  **General Manual Layout**  Electronic exposition: Is the statement of compliance included as part of the file(s)/disc? If so, is it up to date? Have you considered the methods for distributing to the CAAT and how you will manage amendments? |

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| **Details of Applicant / AOC Holder(s)** | | |
| **Instruction:** The operator shall indicate the references in the Operations Manual where the requirements are met. | | |
| **Name of Applicant / AOC holder(s):** | Click or tap here to enter text. | |
| **Date of Submission:** | Click or tap here to enter text. | |
| **List of Manuals Submitted:**  Click or tap here to enter text. | | |
| **Administration and Control of Manual** | **Manual References / Applicant’s Comments** | **CAAT Notes** |
| A statement that the manual complies with all applicable regulations and with the terms and conditions of the applicable air operator certificate (AOC). | Click or tap here to enter text. | Click or tap here to enter text. |
| Explanations and definitions of terms and words needed for the use of the manual. | Click or tap here to enter text. | Click or tap here to enter text. |
| Details of the person(s) responsible for the issuance and insertion of amendments and revisions. | Click or tap here to enter text. | Click or tap here to enter text. |
| A record of amendments and revisions with insertion dates and effective dates. | Click or tap here to enter text. | Click or tap here to enter text. |
| A statement that handwritten amendments and revisions are not permitted, except in situations requiring immediate amendment or revision in the interest of safety. | Click or tap here to enter text. | Click or tap here to enter text. |
| A list of effective pages or paragraphs. | Click or tap here to enter text. | Click or tap here to enter text. |
| A description of the distribution system for the manuals, amendments and revisions. | Click or tap here to enter text. | Click or tap here to enter text. |
| On every page, headers and/or footers to include:   1. Company name 2. Name of the manual 3. Effective revision and date of the page 4. page number | Click or tap here to enter text. | Click or tap here to enter text. |
| Index (not mandatory but desirable) | Click or tap here to enter text. | Click or tap here to enter text. |

| **CAAT Requirement** | **Manual References / Applicant’s Comments** | **CAAT Notes** |
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| **AEROPLANE CONTINUING AIRWORTHINESS** | |  |
| *Note 1*: *This chapter will apply for only operator of aeroplane, For operator of helicopter will comply with Helicopter Operations Requirements* | | Click or tap here to enter text. |
| *Note 2*: *For the purpose of this chapter, “aeroplane” includes: engines, propellers, components, accessories, instruments, equipment and apparatus including emergency equipment.* | | Click or tap here to enter text. |
| *Note 3: Maintenance Organization, also named Repair Station* | | Click or tap here to enter text. |
| **1 OPERATOR’S CONTINUING AIRWORTHINESS RESPONSIBILITIES** | | |
| 1.1Operators shall ensure that, in accordance with procedures acceptable to the Authority: | Click or tap here to enter text. | Click or tap here to enter text. |
| 1. Each aeroplane they operate is maintained in an airworthy condition; | Click or tap here to enter text. | Click or tap here to enter text. |
| 1. The operational and emergency equipment necessary for an intended flight is serviceable; and | Click or tap here to enter text. | Click or tap here to enter text. |
| 1. The certificate of airworthiness of each aeroplane they operate remains valid. | Click or tap here to enter text. | Click or tap here to enter text. |
| 1.2 The operator shall not operate an aeroplane unless maintenance on the aeroplane, including any associated engine, propeller and part, is carried out: | Click or tap here to enter text. | Click or tap here to enter text. |
| 1. By an organization approved by the Authority or is approved by another Contracting State and is accepted by the Authority. | Click or tap here to enter text. | Click or tap here to enter text. |
| 1. By a person or organization in accordance with procedures that are specified in the general maintenance manual | Click or tap here to enter text. | Click or tap here to enter text. |
| 1. For any engine, propeller or part the maintenance release certificate shall be a CAAT form 1 or equivalent. | Click or tap here to enter text. | Click or tap here to enter text. |
| 1.3 The operator shall employ a person or group of persons to ensure that all maintenance is carried out in accordance with the general maintenance manual. | Click or tap here to enter text. | Click or tap here to enter text. |
| 1.4 The operator shall ensure that the maintenance of its aeroplanes is performed in accordance with the maintenance programme approved by the Authority. | Click or tap here to enter text. | Click or tap here to enter text. |

| **CAAT Requirement** | **Manual References / Applicant’s Comments** | **CAAT Notes** |
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| **2 GENERAL MAINTENANCE MANUAL** | | |
| 2.1 The operator shall provide, for the use and guidance of maintenance and operational personnel concerned, a general maintenance manual (GMM), approved by the Authority, in accordance with the requirements in Chapter 13, paragraph 2 of this document. The design of the manual shall observe Human Factors principles.  *Note:-**Guidance material on the application of Human Factors principles can be found in the Human Factors Training Manual (ICAO Doc 9683).* | Click or tap here to enter text. | Click or tap here to enter text. |
| 2.2 The operator shall ensure that the general maintenance manual is amended as necessary to keep the information contained therein up to date. | Click or tap here to enter text. | Click or tap here to enter text. |
| 2.3 Copies of all amendments to the general maintenance manual shall be furnished promptly to all organizations or persons to whom the manual has been issued. | Click or tap here to enter text. | Click or tap here to enter text. |
| 2.4 The operator shall provide the Authority with a copy of the general maintenance manual and related procedures referred in it, together with all amendments and/or revisions to it and shall incorporate in it such mandatory material as the Authority may require. | Click or tap here to enter text. | Click or tap here to enter text. |

| **CAAT Requirement** | **Manual References / Applicant’s Comments** | **CAAT Notes** |
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| 3 MAINTENANCE PROGRAMME | | |
| 3.1 The operator shall provide, for the use and guidance of maintenance and operational personnel concerned, a maintenance programme (MP), approved by the Authority, containing the information required by 3 of Chapter 13. The design and application of the maintenance programme shall observe Human Factors principles.  *Note:-**Guidance material on the application of Human Factors principles can be found in the Human Factors Training Manual (ICAO Doc 9683).* | Click or tap here to enter text. | Click or tap here to enter text. |
| 3.2 Copies of all amendments to the maintenance programme shall be furnished promptly to all organizations or persons to whom the maintenance programme has been issued. If there are revisions, the operator shall furnish the details or revisions to the Authority. However, it is understood that compliance with the maintenance programme alone does not discharge the operator from ensuring that the maintenance programme reflects the maintenance needs of the aircraft, such that continuing safe operation can be assured. | Click or tap here to enter text. | Click or tap here to enter text. |

| **CAAT Requirement** | **Manual References / Applicant’s Comments** | **CAAT Notes** |
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| 4 CONTINUING AIRWORTHINESS RECORDS | | |
| 4.1 The operator shall ensure that the following records are kept for the periods mentioned in 4.2: | Click or tap here to enter text. | Click or tap here to enter text. |
| 1. The total time in service (hours, calendar time and cycles, as appropriate) of the aeroplane and all life-limited components; | Click or tap here to enter text. | Click or tap here to enter text. |
| 1. The current status of compliance with all mandatory continuing airworthiness information; | Click or tap here to enter text. | Click or tap here to enter text. |
| 1. Appropriate details of modifications and repairs; | Click or tap here to enter text. | Click or tap here to enter text. |
| 1. The time in service (hours, calendar time and cycles, as appropriate) since the last overhaul of the aeroplane or its components subject to a mandatory overhaul life; | Click or tap here to enter text. | Click or tap here to enter text. |
| 1. The current status of the aeroplane’s compliance with the maintenance programme; and | Click or tap here to enter text. | Click or tap here to enter text. |
| 1. The detailed maintenance records to show that all requirements for the signing of a maintenance release have been met. | Click or tap here to enter text. | Click or tap here to enter text. |
| 4.2 The records in 4.1 (a) to (e) shall be kept for a minimum period of 90 days after the unit to which they refer has been permanently withdrawn from service, and the records in 4.1 (f) for a minimum period of one year after the signing of the maintenance release. | Click or tap here to enter text. | Click or tap here to enter text. |
| 4.3 In the event of a temporary change of operator, the records shall be made available to the new operator. In the event of any permanent change of operator, the records shall be transferred to the new operator. | Click or tap here to enter text. | Click or tap here to enter text. |
| 4.4 The operator shall ensure that records kept and transferred in accordance with 4.4 shall be maintained in a form and format that ensures readability, security and integrity of the records at all times. | Click or tap here to enter text. | Click or tap here to enter text. |
| *Note:-**The form and format of the records may include, for example, paper records, film records, electronic records or any combination thereof.* | Click or tap here to enter text. | Click or tap here to enter text. |

| **CAAT Requirement** | **Manual References / Applicant’s Comments** | **CAAT Notes** |
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| **5 CONTINUING AIRWORTHINESS RECORDS** | | |
| 5.1 The operator shall report information on the occurrences of faults, malfunctions, defects or other occurrences that cause or might cause adverse effects on the continuing airworthiness of the aircraft concerning but not limited to the occurrence or detection of each failure, malfunction, or defect contained in CAAT Requirement No.22 on “Occurrence Reporting in Civil Aviation”. | Click or tap here to enter text. | Click or tap here to enter text. |
| 5.2 In addition to the requirements contained in 5.1 of this chapter, the operator of an aeroplane over 5 700 kg maximum certificated take-off mass, shall monitor and assess maintenance and operational experience with respect to continuing airworthiness and report information as detailed in 5.1 of this chapter to the following persons: | Click or tap here to enter text. | Click or tap here to enter text. |
| 1. The aircraft type certificate holder; or | Click or tap here to enter text. | Click or tap here to enter text. |
| 1. In the case where information on faults, malfunctions, defects and other occurrences relates to an engine or propeller, the aircraft type certificate holder and the organization responsible for the engine or propeller design; or | Click or tap here to enter text. | Click or tap here to enter text. |
| 1. In the case of an occurrence associated with a modification, the organization responsible for the design of the modification. | Click or tap here to enter text. | Click or tap here to enter text. |
| 5.3 Such information as detailed in para 5.1 and 5.2 may be transmitted by any method i.e. electronically (email: safetyreport@caat.or.th), by post or by facsimile. Each report shall contain at least the following information in accordance with CAAT Requirement No.22 on “Occurrence Reporting in Civil Aviation”. | Click or tap here to enter text. | Click or tap here to enter text. |
| 5.4 The operator of an aeroplane over 5,700 kg maximum certificated take-off mass, shall ensure that obtain and assess continuing airworthiness information and recommendations available from the organization responsible for the type design and shall implement resulting actions considered necessary in accordance with a procedure acceptable to the Authority. | Click or tap here to enter text. | Click or tap here to enter text. |

| **CAAT Requirement** | **Manual References / Applicant’s Comments** | | **CAAT Notes** |
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| **6 MODIFICATIONS AND REPAIRS** | | | |
| All modifications and repairs shall comply with the requirements contained in CAAT Modification and Repair Approval Requirement. | Click or tap here to enter text. | | Click or tap here to enter text. |
| **7 MAINTENANCE RELEASE** | | | |
| 7.1 When maintenance is carried out by an approved maintenance organization, the maintenance release shall be issued by the approved maintenance organization in accordance with the provisions of CAAT Repair Station Certificate Requirement. | | Click or tap here to enter text. | Click or tap here to enter text. |
| 7.2 When maintenance is not carried out by an approved maintenance organization, the maintenance release shall be completed and signed by a person appropriately licensed in accordance with the CAAT regulation to certify that the maintenance work performed has been completed satisfactorily and in accordance with approved data and procedures described in the general maintenance manual. | Click or tap here to enter text. | | Click or tap here to enter text. |
| 7.3 A maintenance release shall contain at least a certification including: | Click or tap here to enter text. | | Click or tap here to enter text. |
| 1. Basic details of the maintenance carried out including detailed reference of the approved data used; | Click or tap here to enter text. | | Click or tap here to enter text. |
| 1. The date such maintenance was completed; and | Click or tap here to enter text. | | Click or tap here to enter text. |
| 1. When applicable, the identity of the approved maintenance organization; and | Click or tap here to enter text. | | Click or tap here to enter text. |
| 1. The identity of the person or persons signing the release. | | Click or tap here to enter text. | Click or tap here to enter text. |

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| **Applicant / AOC Holder(s) Declaration** | | | |
| I declare the information given in this submission is true in every respect. | | | |
| Signature | Click or tap here to enter text.  Name | Click or tap here to enter text.  Position in company | Click or tap to enter a date.  Date (Day / Month / Year) |

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| **CAAT Assessment Use** | | | |
| **CAAT’s Comments, Notes and Recommendations:**  Click or tap here to enter text. | | | |
| Click or tap to enter a date.  Date received | Click or tap here to enter text.  Assessed by (Name/Signature) | Click or tap here to enter text.  CAAT Inspector Function | Click or tap to enter a date.  Assessment Date (Day / Month / Year) |