



Regulation of The Civil Aviation Authority of Thailand
No. 26
on Air Operator Certificate

By virtue of Section 6/1, Section 15(7), Sections 41/112, 41/114, 41/115, 41/116, and 41/118 of the Air Navigation Act B.E. 2497 (1954), as amended by the Air Navigation (No. 14) B.E. 2562, and pursuant to the resolution of the Civil Aviation Board at its 3rd meeting of 2023 on June 7, 2023, the Director General of the Civil Aviation Authority of Thailand hereby issues the following regulation. This regulation aligns with Annex 6 and its amendments of the Convention on International Aviation 1944 and Commission Regulation (EU) 965/2012 Part-Organization Requirements for Air Operations (Part-ORO), stipulating the required qualifications for an eligible applicant of an Air Operator Certificate, outlining the procedures for application, issuance, amendment, and renewal of such certificate, and to ensure that the applicant possesses the capability to conduct the Air Operations with safety and efficiency. The details are as follows:

Clause 1: This regulation shall be called the "Regulation of the Civil Aviation Authority of Thailand No. 26 on Air Operator Certificate."

Clause 2: This regulation shall come into force on December 3, 2023 onwards.

Clause 3: The Regulation of the Civil Aviation Board No. 85 on Air Operator Certificate, dated December 23, 2009, is hereby repealed.

Clause 4: In this regulation,

“Director” means the Director General of the Civil Aviation Authority of Thailand.

“Authority” means the Civil Aviation Authority of Thailand.

Clause 5: Eligibility for the submission of an application for an Air Operator Certificate (AOC) is confined to Thai juristic persons that have been conferred a civil aviation business license for commercial air transportation. In instances where a qualified entity has initiated an application for the civil aviation business license for commercial air transportation and concurrently seeks to apply for an AOC, the Director retains the discretion to permit the AOC application, contingent upon the applicant's demonstration of a Management System. This system must evince the applicant's state of readiness to conform to the safety management requisites applicable to commercial air transportation.

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The Management System shall encompass a Safety Management System (SMS) and a Compliance Monitoring System.

Should an applicant be deemed unqualified to conduct a civil aviation business, the Director may reject the submitted Air Operator Certificate application, inclusive of all pertinent documentation, to the said applicant. Under no circumstances shall the applicant be entitled to reimbursement of any application fees or administrative costs that have been previously incurred and collected.

Clause 6: Any individual seeking to obtain an Air Operator Certificate shall submit an application in the form and manner as prescribed by the Director. Such application shall be submitted no later than 180 days before the projected initiation of flight operations. Along with the application, the following qualifications and details shall be provided:

- (1) Name and address of the applicant.
- (2) Copy of the certificate or evidence of being the juristic persons, including details such as objectives, office location, and authorized signatories, valid for up to six (6) months from the date of issuance.
- (3) Certified copy of the shareholder list by the registrar.
- (4) Copy of Civil Aviation Operating Licence (if applicable).
- (5) Primary business location and main operational base.
- (6) Organizational structure outlining executive positions and financial management authority.
- (7) Details of the authorized person submitting the application and other relevant information.
- (8) Copy of identification card or passport of executive personnel (e.g., Chairman, Director of Flight Operations, Director of Technical Department, Director of Training, Director of Ground Operations, etc.).
- (9) Proposed nature of flight operations, including passenger transport, cargo aviation, postal transport, day or night operations, use of visual aids or flight instruments, transportation of dangerous goods (if any), etc.
- (10) Aircraft information:
 - (a) type of aircraft,
 - (b) nationality and registration mark (if any),
 - (c) radio communication equipment, navigation aids and,
 - (d) Documentation concerning purchase agreements or dry leases, including contracts or letters of intent on purchase agreement or dry lease
- (11) Aircraft maintenance preparations and related equipment.
- (12) Information on aircraft personnel, licenses, and flying experience.

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(13) Qualifications for aircraft personnel duties, crew, and ground personnel, including training programmes and methods for recording training history.

(14) Flight routes, frequencies, and types of operations.

(15) Operational Control plans and supervision procedures.

(16) Financial information, including capital, initial sources of funds, past year's balance sheet, cash flow, etc.

(17) Operations Manuals covering:

(a) Operations Manual Part A: General

(b) Operations Manual Part B: Aircraft Operating Information

(c) Operations Manual Part C: Areas, Route and Aerodrome

(d) Operations Manual Part D: Training Programmes

(18) General Maintenance Manual.

(19) Aircraft Maintenance Programmes.

(20) Any other documentary evidence promulgated by the Director.

The content specifications for Operations Manuals, as outlined in item (17), can be found detailed in the Appendix of this Regulation.

Should the applicant propose the utilization of hot air balloons for flight operations, the applicant shall submit a Balloon Flight Operations Manual (Operations Manual—Balloon) in lieu of the manuals outlined in items (17) to (19).

Clause 7: Subsequent to the Director's review and verification of the application and ancillary documentation as outlined in Clause 6, and upon their determination of completeness and precision, the applicant shall progress through the designated procedural phases:

(1) Pre-Application Phase

(2) Formal Application Phase

(3) Documentation Evaluation Phase

(4) Demonstration and Inspection Phase

(5) Certificate Phase

Clause 8: Upon the satisfactory completion of all 5 designated procedural phases as outlined in Clause 7, and following a thorough evaluation of the applicant's organizational preparedness, oversight of flight operations, training programmes, security plans, maintenance management, and practical operations demonstration of operational proficiency pertinent to the proposed operations stipulated in the application, the Director thereby grant the Air Operator Certificate and Operations Specifications. This issuance shall be accompanied by the relevant documents constituting the Air Operator Certificate appendix (Attachment to Operations Specifications).

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For the application pertains exclusively to balloon flight operations, the issuance shall be confined to the Air Operator Certificate alone.

Clause 9: In evaluation for issuance of an Air Operator Certificate as outlined in Clause 8, the Director may certify the contents in the manuals cited in Clause 6 (17), (18), (19), and (20), contingent upon verification that the contents in the manuals are consistent with the standards promulgated by the Director.

Clause 10: The format of an Air Operator Certificate and the Operations Specifications shall be in accordance with the Appendix of this Regulation. The format of an Attachment to Operations Specifications shall be as stipulated by the Director.

Clause 11: The Air Operator Certificate shall remain valid for a period of five (5) years following the date of the Director's signature as indicated on the Certificate.

Clause 12: The AOC holder seeking renewal of the Air Operator Certificate shall submit the prescribed application form, as stipulated by the Director, accompanied by the requisite documents and evidence as specified in Clause 6 (1), (4), (5), (6), (9), (10), (16), (17), (18), (19), and (20). This submission must occur before the current AOC's expiration, with the application being submitted a minimum of 150 days prior to the said expiry date.

In the renewal assessment, the Director shall adhere to the criteria set forth in Clauses 7, 8, and 9. Provided that the renewal requirements are met, the Director shall issue a new AOC no later than 45 days before the expiration of the current AOC. The new AOC shall retain the effective date of the original certification.

Should the renewal process be duly completed per Clauses 7, 8, and 9, yet issuance of the new AOC is not feasible before the existing AOC's expiration, the Director shall authorize the applicant, via official correspondence, to continue operations under the current AOC. This interim authorization remains valid until the new AOC is granted or a decision is made to deny the renewal of the AOC.

Clause 13: The AOC holder who wish to undertake any of the following changes,
(1) Amendment to the content in the AOC, including but not limited to, changes in the primary business address or main base of operations;

(2) Amendment to the content in the Operations Specifications and any associated Attachment to Operations Specifications, which may involve the addition or removal of aircraft, modification of aircraft models, or changes to other operational details previously certified by the Director;

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(3) Amendment to the content of manual that has been certified by the Director;

shall submit a formal application along with the supporting documents and evidence in the stipulated manner for a preliminary inspection before the proposed date of operations, within a timeframe designated by the Director. Following the Director's approval, the AOC Holder is then authorized to conduct the operations in accordance with the new AOC, or revised Operations Specifications and amended Attachment to Operations Specifications, or updated manual contents, all of which has been certified by the Director.

Clause 14: To maintain the safety of the AOC holder's Air Operations, the Director has the authority to amend the Operational Specifications, including the associated Attachment to Operations Specifications. The Director may also issue orders to rectify the specific operational details, or contents in the manuals previously certified by the Director within a designated period.

Clause 15: The AOC holder shall conduct the safe Air Operations in strict compliance with the established criteria, requirements, conditions, and privileges granted, in accordance with the Regulations of the Civil Aviation Authority of Thailand on Air Operations of the Air Operator, and comply with additional Air Operations standards promulgated by the Director. AOC holders are responsible for:

(1) Conducting their operations in accordance with the Operations Specifications and the associated Attachment to Operations Specifications, including adherence to manuals and information certified by the Director.

(2) Granting consent for officials or aviation inspectors the access to the main station, substations, and aircrafts for the verification of compliance with the stipulated standards.

(3) Maintaining the Air Operator qualifications as outlined in Clause 6 without deviation.

(4) Executing any further duties as mandated by the Director.

Clause 16: Should the Director ascertain that the AOC holder has committed any of the following infractions, the Director is authorized to command the AOC holder to undergo inspections, revise documents, or implement corrective measures within a specified period:

(1) Violating, or non-compliance with, operating regulations.

(2) Violating, or non-compliance with, manuals or information certified by the Director.

(3) Violating, or non-adherence to, air navigation laws.

(4) Violating, or non-compliance with, safety protocols and procedures as decreed by the Director.

(5) Unauthorized alterations to manuals or information previously certified by the Director.

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Clause 17: The AOC holder may temporarily suspend their air operations when such action is deemed necessary, subject to the approval of the Director. The AOC holder is entitled to request a suspension of air operations for a term not exceeding six (6) months within a single application. Successive suspensions are permissible, provided that the total duration does not surpass two consecutive terms.

Should the suspension extend beyond 3 months and the AOC holder wishes to recommence air operations, the Director shall conduct a safety standards inspection in accordance with established protocols before authorizing the resumption of services.

Any suspension that exceeds the durations outlined in the preceding paragraph without just cause shall be considered an unauthorized cessation of service, warranting the revocation of the AOC.

Clause 18: In the event of a suspension of the Civil Aviation Operating Licence held by the AOC holder, the corresponding AOC shall be deemed temporarily invalid. The AOC holder may only recommence the exercise of privileges granted by the AOC subsequent to the termination of the suspension period of the Civil Aviation Operating Licence. Such commencement is contingent upon a satisfactory inspection of safety standards, as prescribed by the Director, and the subsequent issuance of an approval for the resumption of air operations.

Should the Civil Aviation Operating Licence of the AOC holder expire, with non-renewal order from the Minister of Transport, or should it become unusable or be revoked, the AOC shall be rendered invalid.

Clause 19: Applicant who has submitted request for an Air Operator Certificate, preceding of the enactment of this Regulation, shall adhere to the stipulations set forth in the Regulation of Civil Aviation Board No. 85 on the Air Operator Certificate, as of December 23, 2009.

Clause 20: Holder of an Air Operator Certificate (AOC) that was issued before the enactment of this Regulation shall be required to update their Air Operations standards to meet the enhanced criteria set forth herein, within a maximum period of three (3) years following the effective date of this Regulation. This includes undergoing the Air Operator Certificate Re-certification process with the procedures promulgated by the Director.

Given on June 16, 2023

Suttipong Kongpool

Director General

The Civil Aviation Authority of Thailand

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Appendix as the attachment to the Regulation of The Civil Aviation Authority of Thailand No. 26 on Air Operator Certificate, Dated June 16, 2023.

APPENDIX

Air Operator Certificate Format



Air Operator Certificate

Kingdom of Thailand

The Civil Aviation Authority of Thailand (CAAT)

AOC Number: XXXXXX Expiry Date: DD Mmmm YYYY	Operator Name: XXXXX Trading Name: XXXXX Operator address: XXXXXXXXXXXXXXXXXXXXXXXX XX XX Telephone: (+66) 2XXX XXXX Fax: (+66) 2XXX XXXX Email: XXXXXXXX@XXXXXXXXXX	Operational points of contact: Contact details, at which operational management can be contacted without undue delay, are listed in <u>Operations Manual Part A Chapter XXX</u>
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This certificate certifies that (Operator Name) is authorised to perform commercial air operations, as defined in the attached operations specifications, in accordance with operations manual, and the Air Navigation Act B.E. 2497 Article 41/112, 41/114, 41/115, 41/116, 41/117 and Article 41/118 Regulation of Civil Aviation Authority of Thailand No. Xx and No, xx and TCAR OPS Air Operations Regulation and rule prescribed thereunder.

This certificate is not transferable and shall continue in effect unless returned, suspended or revoked.

Issue date:	DD Mmmm YYYY	(.....)
Effective date:	DD Mmmm YYYY	Director General
Rev.: XX		The Civil Aviation Authority of Thailand

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APPENDIX

Operations Specifications Format



OPERATIONS SPECIFICATIONS

The Civil Aviation Authority of Thailand				
Telephone: +66 2568 8842-3		Fax: +66 2568 8848		E-mail: caataoc@caat.or.th
AOC No.: XX/XXX Ops Spec No.: XX Revision No.: XX Operator name: XXXXXX Trading name: Db a XXXXX			(.....) Director General The Civil Aviation Authority of Thailand Date: ____ Mmmm yyyy	
Aircraft model: XXXXX (Authorized aircraft: aircrafts nationality and registration mark and all operations shall be in accordance with List of Authorized Aircraft for Specific Approval)				
Types of operation: Commercial Air Transportation <input type="checkbox"/> Passengers <input type="checkbox"/> Cargo <input type="checkbox"/> Other:				
Area(s) of operation: <input type="checkbox"/> Domestic <input type="checkbox"/> International: <input type="checkbox"/> Asia <input type="checkbox"/> Africa <input type="checkbox"/> Australia <input type="checkbox"/> Europe <input type="checkbox"/> North America <input type="checkbox"/> South America <input type="checkbox"/> Antarctica <input type="checkbox"/> Other.....				
Special limitations: _____				
Specific Approval	Yes	No	Specification	Remarks
Dangerous goods	<input type="checkbox"/>	<input type="checkbox"/>		
Low visibility operations:	<input type="checkbox"/>	<input type="checkbox"/>		
Take-off	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> RVR ____ m.	
Approach and landing	<input type="checkbox"/>	<input type="checkbox"/>	CAT ____ DA/H: ft, RVR ____ m.	
Operational credits	<input type="checkbox"/>	<input type="checkbox"/>	CAT ____ DA/H: ft, RVR ____ m.	

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RVSM	<input type="checkbox"/> N/A	<input type="checkbox"/>	<input type="checkbox"/>		
OPERATIONS SPECIFICATIONS					
AOC No.: XX/XXX Ops Spec No.: XX Revision No.: XX Operator name: XXXXXX Trading name: DbA XXXXX			(.....) Director General The Civil Aviation Authority of Thailand Date: Mmmm yyyy		
Specific Approval	Yes	No	Description		Remarks
EDTO <input type="checkbox"/> N/A	<input type="checkbox"/>	<input type="checkbox"/>	Threshold time: ____minutes Maximum diversion time: ____minutes		
Complex navigation specifications for PBN operations	<input type="checkbox"/>	<input type="checkbox"/>			
Minimum navigation performance specification	<input type="checkbox"/>	<input type="checkbox"/>			
Operations of single-engined turbine aeroplane at night or in IMC (SET-IMC)	<input type="checkbox"/>	<input type="checkbox"/>			
Helicopter operations with the aid of night vision imaging systems	<input type="checkbox"/>	<input type="checkbox"/>			
Helicopter hoist operations	<input type="checkbox"/>	<input type="checkbox"/>			
Helicopter emergency medical service operations	<input type="checkbox"/>	<input type="checkbox"/>			
Helicopter offshore operations	<input type="checkbox"/>	<input type="checkbox"/>			
Cabin crew training	<input type="checkbox"/>	<input type="checkbox"/>			
Issue of CC attestation	<input type="checkbox"/>	<input type="checkbox"/>			
Use of type B EFB Applications	<input type="checkbox"/>	<input type="checkbox"/>			
Continuing airworthiness	<input type="checkbox"/>	<input type="checkbox"/>			
Other:	<input type="checkbox"/>	<input type="checkbox"/>			

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APPENDIX

Content specifications for Operations Manuals.

1. OPERATIONS MANUAL PART A: GENERAL

shall consist of;

- 1) ADMINISTRATION AND CONTROL OF THE OPERATIONS MANUAL
- 2) ORGANISATION AND RESPONSIBILITIES
- 3) OPERATIONAL CONTROL AND SUPERVISION
- 4) MANAGEMENT SYSTEM
- 5) CREW COMPOSITION
- 6) QUALIFICATION REQUIREMENTS
- 7) CREW HEALTH PRECAUTIONS
- 8) FLIGHT TIME LIMITATIONS
- 9) OPERATING PROCEDURES
- 10) DANGEROUS GOODS AND WEAPONS
- 11) SECURITY
- 12) HANDLING, NOTIFYING AND REPORTING ACCIDENTS, INCIDENTS AND OCCURRENCES

AND USING THE CVR RECORDING

- 13) RULES OF THE AIR
- 14) LEASING/CODE-SHARE

2. OPERATIONS MANUAL PART B: AIRCRAFT OPERATING INFORMATION

shall consist of;

- 1) GENERAL INFORMATION AND UNITS OF MEASUREMENT
- 2) LIMITATIONS
- 3) NORMAL PROCEDURES
- 4) ABNORMAL AND/OR EMERGENCY PROCEDURES
- 5) PERFORMANCE
- 6) FLIGHT PLANNING
- 7) MASS AND BALANCE
- 8) LOADING
- 9) CONFIGURATION DEVIATION LIST
- 10) MINIMUM EQUIPMENT LIST (MEL)
- 11) SURVIVAL AND EMERGENCY EQUIPMENT INCLUDING OXYGEN
- 12) EMERGENCY EVACUATION PROCEDURES
- 13) AIRCRAFT SYSTEMS

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3. OPERATIONS MANUAL PART C: AREA, ROUTE AND AERODROME/OPERATING SITE

shall consist of;

1) Instructions and information relating to communications, navigation and aerodromes/operating sites, including minimum flight levels and altitudes for each route to be flown and operating minima for each aerodrome/operating site planned to be used.

2) Information related to landing sites available for operations approved in accordance with Subpart L (SET-IMC) of TCAR OPS Part SPA.

4. OPERATIONS MANUAL PART D: TRAINING PROGRAMME

shall consist of;

1) Description of scope: Training syllabi and checking programmes for all operations personnel assigned to operational duties in connection with the preparation and/or conduct of a flight.

2) Content: Training syllabi and checking programmes.

3) Procedures:

3.1) Procedures for training and checking.

3.2) Procedures to be applied in the event that personnel do not achieve or maintain the required standards.

3.3) Procedures to ensure that abnormal or emergency situations requiring the application of part or all of the abnormal or emergency procedures, and simulation of instrument meteorological conditions (IMC) by artificial means are not simulated during CAT operations.

4) Description of documentation to be stored and storage periods.
